



LSCU Enterprise Risk Management Council

Thursday, September 21, 2023
1:00 PM – 3:30 PM EST
Zoom Meeting

1:00pm – 1:15pm

Welcome & Introductions

1:15pm – 2:15pm

Bankruptcy Essentials for Bankers



It is imperative that every credit union and banking professional have a foundational understanding of the United States Bankruptcy Code, and how it impacts your organization's compliance obligations and risk management strategy. This program will provide an overview of the bankruptcy system, including the automatic stay and the impact on banking practices, priority of claims, adequate protection, and voidable transfers, as well best practices for responding to a bankruptcy notice.

Maggie E. Schroedter, *Attorney*

Maggie E. Schroedter is co-founder of the boutique business law firm, Robberson Schroedter LLP. Ms. Schroedter represents clients in complex commercial litigation and business bankruptcy, with an emphasis on creditor's rights. Her commercial litigation practice includes representation of clients in business disputes, including breach of contract, trade secret misappropriation,

business fraud disputes, and other business torts. She represents businesses clients in a variety of industries, including in the wind and renewable energy sector.

Ms. Schroedter also represents creditors, financial institutions, and lenders in Chapter 7, 11 and 13 bankruptcy proceedings, and business debtors with pre-insolvency planning, Chapter 11 reorganization, and out-of-court restructurings. She has substantial experience prosecuting and defending nondischargeability claims, objections to discharge, preference and voidable transfer actions, and automatic stay relief. Ms. Schroedter also handles complex bankruptcy appeals before the Ninth Circuit Bankruptcy Appellate Panel and the Ninth Circuit Court of Appeals.

Representative matters have included a multi-million dollar renewable energy projects case; representation of receivers, including receivers appointed by the U.S. Securities and Exchange Commission, in ponzi schemes, corporate restructurings, and other cases; and the successful defense of a multi-million dollar nondischargeability claim brought by the United States Department of Justice.

Prior to founding Robberson Schroedter LLP, Ms. Schroedter was a partner at Higgs Fletcher & Mack, LLP, where she practiced for 11 years. Ms. Schroedter has been selected as a Thomson Reuters "Super Lawyer" in 2022 and 2023, has been recognized since 2022 by Best Lawyers, is a past co-chair and current Treasurer of the California Bankruptcy Forum, and is past President of Lawyers Club of San Diego, San Diego's largest specialty bar organization.

2:15pm – 2:30pm

Break

2:30pm – 3:00pm

Member Expulsion



A comprehensive review of the intricacies surrounding member expulsion in both federal and state-chartered credit unions.

Tony Roberts, *Senior Compliance Consultant*

Tony Roberts has 11 years of experience working in the credit union and financial industry, as well as obtaining the Credit Union Compliance Expert (CUCE) and BSA Compliance Specialist (BSACS) certifications. His primary areas of expertise include Fair Lending, BSA/AML, disaster recovery, and staff training. In addition to in-house credit union compliance experience, he has served as a compliance consultant for the League of Southeastern Credit Unions.



Kelsie Davis, *Compliance Consultant*

Kelsie Davis is a Compliance Consultant at the League of Southeastern Credit Unions. Previously, Kelsie spent 7 years at Mutual Savings Credit Union in Birmingham, AL as a BSA/AML Officer, and Operations Specialist. She graduated from the University of Montevallo graduate program in May 2023, earning her MBA.

3:00pm – 3:15pm

Regulation and Cryptocurrency



LSCU is happy to be launching a new Tri-State Regulatory Work Group that will help to coordinate LSCU's response to all regulatory matters at both the state and federal levels. This meeting will be hosted quarterly via Zoom and will play a critical role in helping credit unions to put their voice forward in the regulatory space.

David Pace, *Manager of Regulatory Advocacy*

David Pace graduated from Georgia State University in 2020 with a Bachelor of Business Administration with a concentration in Finance. While in college he worked for Associated Credit Union as a Risk Management Assistant focusing on third-party due diligence. After graduating he got a job with the Nebraska Department of Banking and Finance as a FinTech Examiner who specialized Money Service Businesses and cryptocurrency companies. He is now the Manager of Regulatory Advocacy with LSCU.

3:15pm – 3:30pm

Q&A

3:30pm

Adjourn